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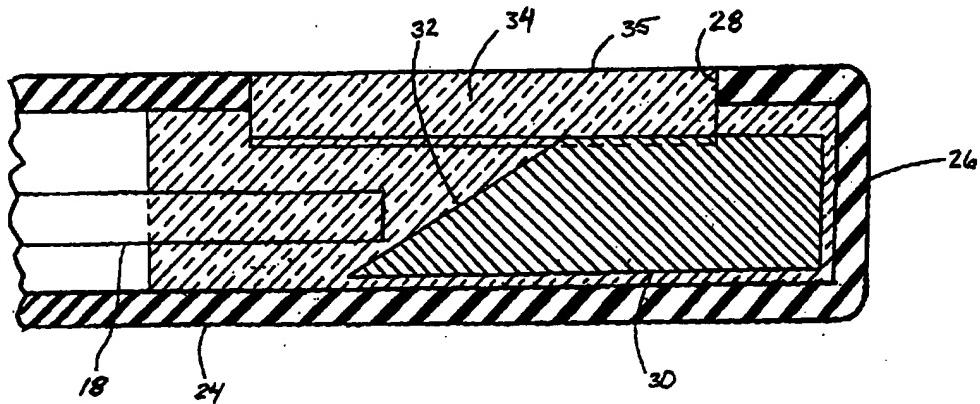
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(54) Title: FIBER OPTIC CATHETER FOR ACCURATE FLOW MEASUREMENTS



(57) Abstract

An improved fibre optic sensor for remote flow measurements is disclosed. The sensor consists of two optical fibres (16, 18) placed parallel to each other with a reflective surface (32) (flat or concave) at the end. The fibres and the reflective surface are encased in a flexible tube (24) with an opening (28) in the side of the tube to allow light to be reflected through the opening into a measurement volume of the flow. The cavity created by this opening in the flexible tube is preferably filled with optical cement (34). This surface is polished so that it is flushed with the surface. Light is transmitted to the measurement volume from one of the optical fibres (Transmitting fibre) where it is scattered by scattering particles within this measurement volume. Part of backscatter light is collected by the other fibre (receiving fibre) and the backscatter signal is compared with the transmission signal to determine a Doppler shift.

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## FIBRE OPTIC CATHETER FOR ACCURATE FLOW MEASUREMENTS

### BACKGROUND OF THE INVENTION

#### 1. FIELD OF THE INVENTION

The present invention relates to an improved fibre optic probe, or sensor, for remote flow measurements. In particular, this sensor is designed for accurate flow measurements of fluids flowing in remote vessels, such as blood flow within arteries or veins or flows within pipes.

#### 15 2. BACKGROUND INFORMATION

Fibre-optic anemometry is employed in velocimetry to measure flow rates, velocity gradients, and turbulence at remote points which are otherwise inaccessible. For example, by measuring the velocity of blood flow in an artery before, during, and after an angioplasty procedure, the success of the procedure can be ascertained. Laser light is transmitted, via optic fibres, into the flow where it is scattered. A portion of the scattered light is collected and transmitted, also via optic fibres, to an anemometer for analysis. By analyzing the Doppler shift between the transmitted light and the collected scattered light, the velocity of fluid flow can be ascertained.

Optical fibres were first used in laser Doppler anemometers for the measurement of localized blood flow velocities by T. Tanaka and G.B. Benedek and described in an article entitled Measurement of the Velocity of Blood Flow (In Vivo) Using Fibre Optic Catheter and Optical Mixing Spectroscopy, 14 Applied Optics 189-196 (1975). In their system they used a 500 $\mu$ m core

5      diameter monofibre to deliver the laser beam into  
the femoral vein of a rabbit. The immersed distal  
end of the fibre was cut and polished at 30°  
relative to the fibre axis in an attempt to  
minimize flow disturbance caused by the mere  
10     presence of the fibre in the blood stream. A  
laser beam was projected out through the fibre  
wall, opposite the cut end surface, into the flow  
by total internal reflection at the angled  
polished distal end of the fibre. Light scattered  
15     by the erythrocytes at the fibre tip was collected  
by the same fibre and mixed with the reference  
beam on the surface of a photomultiplier tube.  
Analysis of the resultant signal was done on an  
18-channel digital autocorrelator.

20     The sensor of the Tanaka-Benedek system  
suffers from a number of disadvantages.  
Projection of light out of the side of the fibre  
necessitates that the fibre be stripped to its  
core, thus leaving the brittle and fragile fibre  
25     core exposed and unprotected. Cutting and  
polishing the distal end of the fibre is a  
difficult operation to perform, thus causing  
manufacturing complications. Finally, due to the  
small radius of curvature of the exposed fibre,  
30     the curved outer surface of the fibre could cause  
most of the light scattered back to the fibre to  
be lost at the fibre-fluid interface, especially  
if there are irregularities on the surface.

35     R.B. Dyott, in an article entitled The  
Fibre-Optic Doppler Anemometer, 2 Microwaves,  
Optics and Acoustics 13-18 (1978), discusses  
making flow measurements using a single optic  
fibre laser Doppler anemometer with the fibre  
normally terminated. He reported that the region  
40     in which light is back-scattered into the fibre  
extends only to a few tens of the core diameter in

5 front of the fibre tip. As demonstrated in FIG.  
10, the flow in this region, indicated at 70, is  
perturbed by the presence of the distal end of the  
fibre which could seriously affect the accuracy of  
any measurements of flow velocity. The Dyott  
10 system is well suited, however, to measurements in  
situations where the medium is stationary and the  
particles are moving.

For flow measurements, G.A. Holloway,  
Jr. and D.W. Watkins modified the Tanaka-Benedek  
15 system by using separate fibres for delivering the  
laser beam and receiving the scattered light as  
described in Laser Doppler Measurement of  
Cutaneous Blood Flow, 69 J. Investigative  
Dermatology 306-309 (1977). They applied such a  
20 modified system for non-invasive measurement of  
cutaneous microcirculation. The disadvantages  
described above regarding the single fibre Tanaka-  
Benedek system are exacerbated by the inclusion of  
a second fibre.

25 For invasive flow measurements, D.  
Kilpatrick adapted Dyott's system by modifying the  
analyzing components and described the adapted  
system in Laser Fibre Optic Doppler Anemometry in  
the Measurement of Blood Velocities In Vivo,  
30 Computers in Cardiology, IEEE, 467-470 (1980). By  
using this system, he showed that, despite flow  
perturbation caused by the presence of the fibre,  
the system could still be used to measure blood  
flow velocities both in vitro and in vivo. With  
35 the fibre positioned parallel to fluid flow he  
obtained a broad spectrum, declining monotonically  
with width, that is proportional to the flow  
velocity. The maximum Doppler shift frequency was  
taken as representative of the flow velocity and  
40 this agreed with the calculated theoretical value  
of  $4.2\text{Mhz}/\text{ms}^{-1}$  (i.e. the maximum shift frequency is

5       absolutely calibrated). A linear relationship was  
obtained between the maximum shift frequencies and  
the flow velocities for flows of up to  $1.5\text{ms}^{-1}$  in  
the forward direction (advancing towards the fibre  
tip) but only  $20\text{cms}^{-1}$  for flows in the reverse  
10      direction (moving away from the fibre distal end  
tip).

Concurrently with the work of Kilpatrick  
described above, M. Imamura, F. Kajiya, and N.  
Hoki independently developed a similar system, but  
15      with an added advantage of being able to measure  
directional flow, as reported in Blood Velocity  
Measurement By Laser Doppler Velocimetry With  
Optical Fibre, Proc. 12th Int. Conf. Med. and  
Biol. Eng. 35 (1979). They achieved this by using  
20      a Bragg cell (acousto-optic modulator) to shift  
the reference signal by 40MHz. In vivo flow  
measurements in blood were made via the fibre's  
distal end and with the whole fibre oriented at a  
25       $60^\circ$  angle to the flow (see FIG. 11), and a broad  
rectangular spectrum was obtained. A linear  
relationship was again found between the maximum  
shift frequencies and flow velocities as in the  
Kilpatrick adaptation of the Dyott system.

It is interesting to note the absolutely  
30      calibrated linear relationship between the maximum  
Doppler shift frequency and flow velocity obtained  
for the Kilpatrick and Imamura-Kajiya-Hoki systems  
described above. This relationship implies that  
single fibre systems measure the free stream flow  
35      velocity (i.e., velocity outside the perturbed  
region), but only within certain velocity limits.  
Outside these limits, however, the system will  
either have to be modified or improved to allow an  
accurate measurement of flow velocity. The broad  
40      spectrum observed by both systems was assumed to  
be due to multiple frequency shifts from the

5 particles of varying velocity in the perturbed region at the tip of the fibre.

The slight difference in spectral shape reported by the Kilpatrick and the Imamura-Kajiyahoki studies is due to the area of turbulence at

10 the measurement region adjacent the fibres' distal end, and this has been theoretically addressed by M.D. Stern in *Laser Doppler Velocimetry in Blood and Multiply Scattering Fluids: Theory*, 24 *Applied Optics 1968-1986* (1985). The difference was

15 attributed to different thicknesses of the boundary layer at the distal end tip of the fibre, with Kilpatrick's system having a thicker layer.

To overcome the effect of the boundary layer for obtaining accurate flow measurements, it is

20 necessary to project the probe volume (i.e., the volume in which flow measurements are made) away from or beyond the boundary layer and into the laminar flow region. To do this Stern suggested use of two fibres, with one fibre delivering the 25 incident light and the other collecting the scattered light. The sensor proposed by Stern, however, projected the probe volume from the blunt ends of the fibres.

A two fibre laser Doppler anemometer 30 with the fibres oriented at 60° to the direction of flow was developed, tested, and reported by Y. Ogasawara, O. Hiramatsu, K. Mito, and others in *A New Laser Doppler Velocimeter With a Dual Fibre Pickup For Disturbed Flow Velocity Measurement*,

35 *Circulation*, 76, Suppl. 4, 328 (1987) and by F. Kajiyama, O. Hiramatsu, Y. Ogasawara, and others in *Dual-Fibre Laser Doppler Velocimeter and its Application to the Measurements of Coronary Blood Velocity*, 25 *Biorheology* 227-235 (1988). In both 40 systems, two step-index fibres with a core diameter of 50 $\mu\text{m}$  and a cladding diameter of 62.5 $\mu\text{m}$

5        were used. The scattered light collected by the receiving fibre was mixed with the reference beam and detected using an avalanche photodiode. The spectrum analyzer showed a narrow spectrum (as compared with the single fibre system) with a peak  
10      value that varied with flow velocity. The separation between the cores of the two fibres in these systems was 12.5 $\mu$ m.

By varying the core separation and using different fibre combinations, S.C. Tjin, D. Kilpatrick, O. Hiramatsu, Y. Ogasawara, and F.A. Kajiya obtained better linearity between the Doppler frequencies and flow velocities as the core separation was increased with their system and findings described in A Dual-Fibre Laser 20 Doppler Anemometer for in Vitro Measurements, Proc. 13th Aust. Conf. Optical Fibre Technology, 245-248 (1988). This improved linearity, however, was obtained at the expense of a decreased signal-to-noise ratio, and the probe volume was still 25 projected from the distal end of the fibre.

However, with a fibre probe placed parallel to the flow, S.C. Tjin, D. Kilpatrick, and P.R. Johnston found that a two-fibre probe with the fibre tips normally terminated is 30 inadequate for accurate flow measurements, especially for flows moving away from the fibre tips, as described in Evaluation of the Two-Fibre Laser Doppler Anemometer for In Vivo Blood Flow Measurements, Experimental and Flow Simulation 35 Results, 34 Optical Engineering, 460-469 (1995). This is because the flow at the fibre distal end tips is perturbed, and the region of perturbation extends away from the fibre tips with increasing flow velocity. For flow towards the fibre tips, 40 the region of flow perturbation decreases towards the fibre tips with increasing flow velocity.

5       These changes in the region of flow perturbation  
with flow velocities and the direction of flow  
give rise to a non-linear calibration between the  
Doppler frequency and the flow velocity. This  
limits the usefulness of the system for in vivo  
10      flow measurements because, in most practical  
systems, the fibre optic probe must be placed  
parallel to the flow.

A two fibre sensor adapted to project a probe volume to the side of the catheter wall by  
15      means of reflective surfaces was proposed by S.C. Tjin in Fibre Optic Laser Doppler Anemometry, Ph.D. Thesis, University of Tasmania, 1991, available at the University of Tasmania. Such a sensor was, however, never constructed. In the  
20      proposed embodiment of the sensor, two fibres are embedded in the wall of a larger catheter. Proximate each fibre distal end tip, a separate opening is formed in the catheter sidewall. An angled reflective surface is positioned in the  
25      opening axially opposite the fibre distal end tip to reflect light from the fibre radially outwardly through the opening directly into the flow, which is parallel to the fibre axes. This proposed embodiment, if built, would have had a number of  
30      disadvantages. The uncovered openings at the reflective surfaces would themselves cause turbulence and would also provide a place for blood clots to form or collect. To minimize the size of the openings, and thus the amount of  
35      turbulence caused thereby, it was proposed that two small, circumferentially spaced apart openings be provided in the catheter wall rather than a single large opening and single reflective surface that would be able to accommodate both fibres.  
40      Polishing, mounting, and aligning dual reflective surfaces, however, would introduce manufacturing

5 complexity and alignment problems to developing a suitable probe volume and would add cost to the manufacture of the sensor. Also, the embodiment, as proposed, included no provision for focusing the transmitted and received light beams to  
10 minimize the width of the Doppler spectrum and maximize the signal-to-noise ratio.

#### SUMMARY OF THE INVENTION

An object of the present invention is to provide a two-fibre probe that avoids the problem  
15 of non-linear calibration between the Doppler frequency and the flow velocity due to flow perturbation caused by the sensor. In addition, an object of the present invention is to provide a sensor that projects a probe volume to the side of  
20 the sensor to avoid turbulence caused by the sensor, the sensor being relatively simple to manufacture and eliminating structural features that would themselves cause turbulence or collect blood clots.

25 Consistent with this object, a new two fibre optic measuring probe has been designed, which can be incorporated into any existing catheter, to provide accurate fluid flow measurements, not axially via the distal end of  
30 the fibre, but radially with respect to the axes of the fibres. The measuring probe comprises two or more optical fibres placed alongside each other within a flexible tube. Light is transmitted into the blood stream through one of the fibres, termed  
35 the transmitting fibre. A reflective surface, located axially within the flexible tube relative to the terminal ends of the fibres, is polished or otherwise formed with the reflective surface oriented at an angle with respect to the axes of  
40 the fibres. The reflective angled surface will

5 reflect the light from the transmitting fibre,  
through an optically transparent window in the  
sidewall of the tube. Thus, light is reflected  
into the blood stream alongside the catheter where  
blood flow is not usually perturbed by the  
10 presence of the catheter and is more likely to be  
laminar.

This radially projected light is  
scattered by scattering particles within the probe  
volume, thus developing backscatter light with  
15 part of the backscatter light being collected by  
the other fibre, termed the receiving fibre.

A cavity surrounding the ends of the  
optical fibres and the reflective surface is  
filled with an appropriate optical cement to both  
20 fix the optical fibres and the reflective surface  
in place and to provide an optical window for the  
sensor that is flush with the outer surface of the  
catheter tube. This minimizes flow perturbations  
along the side of the sensor and also prevents  
25 blood from entering a cavity where it may  
contribute to the formation of undesirable clots.

Variations on this design include a  
variety of shapes for the fibre tips, including  
tips that are normal to the fibre axes or tips  
30 that are concave or convex. Concave and convex  
fibre tips serve the additional purpose of being  
able to effectively focus the incident and  
received beams at more specific locations to  
increase the intensity of the incident beam and to  
35 narrow the field of view of the receiving beam to  
effectively shrink the size of the probe volume  
and thus improve the signal to noise ratio and the  
Doppler spectrum

Another variation of the measuring probe  
40 of the present invention includes a reflective  
surface that is concave instead of flat. This

5 complexity and alignment problems to developing a suitable probe volume and would add cost to the manufacture of the sensor. Also, the embodiment, as proposed, included no provision for focusing the transmitted and received light beams to  
10 minimize the width of the Doppler spectrum and maximize the signal-to-noise ratio.

#### SUMMARY OF THE INVENTION

An object of the present invention is to provide a two-fibre probe that avoids the problem  
15 of non-linear calibration between the Doppler frequency and the flow velocity due to flow perturbation caused by the sensor. In addition, an object of the present invention is to provide a sensor that projects a probe volume to the side of  
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laminar.

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25 blood from entering a cavity where it may  
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Variations on this design include a  
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received beams at more specific locations to  
increase the intensity of the incident beam and to  
35 narrow the field of view of the receiving beam to  
effectively shrink the size of the probe volume  
and thus improve the signal to noise ratio and the  
Doppler spectrum

Another variation of the measuring probe  
40 of the present invention includes a reflective  
surface that is concave instead of flat. This

5 concave surface also helps focus the beam from the transmission fibre to a point above the surface of the optical cement surface. Part of the light scattered by scattering particles in this region is collected by the receiving fibre via the  
10 concave reflecting surface. A concave reflective surface can be combined with fibres having concave or convex tips.

Other objects, features and characteristics of the present invention will 15 become apparent upon consideration of the following description with reference to the accompanying drawings, and in the appended claims, all of which form a part of the specification, and wherein reference numerals designate corresponding 20 components of the figures.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows a conventional catheter incorporating an improved measuring probe, or sensor tip, according to the present invention;

25 FIG. 2 is an enlarged view of the sensor tip of the present invention from above the reflective surface;

30 FIG. 3 is a cross-sectional view of the sensor tip of the present invention along the line III-III in FIG. 2;

FIG. 4 is an enlarged view of a second embodiment of the sensor tip according to the present invention from above the reflective surface;

35 FIG. 5 is a cross-sectional view of the second embodiment of the sensor tip of the present invention along the line V-V in FIG. 4;

40 FIG. 6 is a cross-sectional view of the of the sensor tip of the present invention along the line VI-VI in FIG. 2;

5           FIG. 7 is a cross-sectional view of the  
sensor tip of the present invention along the line  
VII-VII in FIG. 4;

10          FIG. 8A is a cross sectional view of the  
sensor of the present invention depicting a third  
embodiment thereof;

15          FIG. 8B is a cross sectional view of the  
sensor of the present invention depicting a fourth  
embodiment thereof;

20          FIG. 9A is a cross sectional view of the  
sensor of the present invention depicting a fifth  
embodiment thereof;

25          FIG. 9B is a cross sectional view of the  
sensor of the present invention depicting a sixth  
embodiment thereof;

30          FIG. 10 shows flow perturbation  
occurring at the blunt end of a prior art sensor  
tip; and

35          FIG. 11 shows the flow perturbation  
occurring at the end of a prior art sensor tip  
oriented at 60° to the flow.

#### DETAILED DESCRIPTION OF THE INVENTION

A fibre optic catheter 10 having a measuring sensor 14 according to the present invention is shown in FIG. 1. The catheter 10 includes first and second optic fibres 16, 18 enclosed within a flexible tube 24. The fibres are conventional optical fibres and can, for example, be comprised of glass or plastic. Glass fibres are preferred because of their superior light transmission qualities. The flexible tube is preferably a medical grade tubing, such as heparin (an anticoagulant) coated latex, which is conventionally used in a variety of in vivo applications.

5           A sensor tip, or region, 14 is located adjacent, but proximally of, a distal end of the catheter 10, or at any desired location therealong. Conventional connectors 20, 22 are fixed to the proximal ends of the optic fibres 16, 18, respectively. The connectors 20, 22 connect the catheter 10 to an anemometer or other suitable analyzing device. With the exception of the use of the present invention sensor, the catheter 10, including the connectors 20, 22, is of conventional construction and design for a two optic fibre catheter.

The construction of the sensor in the sensoring region 14 is shown in more detail in FIGS. 2 and 3. The optic fibres 16, 18 are enclosed by the flexible tube 24 and may terminate within the tube 24 near, but spaced proximally from, the distal end 21 of the catheter. It is presently preferred to use multimode fibres having a step refractive index profile with a core diameter of 50  $\mu\text{m}$  and a cladding diameter of 125  $\mu\text{m}$  (denoted a 50/125 fibre). A single mode fibre, having a core diameter of 8  $\mu\text{m}$  and a cladding diameter of 125  $\mu\text{m}$  (a 8/125 fibre), may also be used. It is also possible to use a combination of one single mode fibre and one multimode fibre. For single mode fibres, the preferred core diameter is dependent on the wavelength of light to be used.

The distal end 21 of tube 24 is preferably closed by a cap 26, or the like, to prevent the intrusion of blood into the probe which might form undesirable clots.

An opening 28 is initially formed in a sidewall of the tube 24. The terminal ends 17, 19 of the optic fibres 16, 18, respectively, are located within the tube 24 adjacent opening 28.

5           A plug 30 composed of reflective material is disposed within the tube 24 between the terminal ends 17, 19 of the optic fibres 16, 18 and the distal end cap 26 of the tube 24. The plug 30 may be composed of any suitable reflective  
10          material, such as copper, stainless steel, silver, mirrored glass, or the like. Presently a portion of stainless steel wire having a diameter of 0.2 mm has been employed.

15          One end of the plug 30 nearest the terminal ends 17, 19 is ground and polished to form a finished reflective surface 32 that is oriented at an angle with respect to the longitudinal fibre axes of the fibres 16, 18. It is presently contemplated that the preferred angle  
20          of the reflective surface be within the range of about 25-35° with respect to the longitudinal fibre axes of the fibres 16, 18, with an angle of about 30° being preferred.

25          Once the fibres and the reflective surface are appropriately aligned, the cavity within the opening 28 surrounding the optic fibres 16, 18 and the plug 30 is filled with an optical cement 34. The optical cement may include any suitable optically transparent material having an initial liquid phase and which hardens after being poured into the cavity, such as clear polymeric materials which harden upon exposure to certain radiation. When set, the optical cement 34 locks the fibres and the reflective surface 32 together  
30          35         into an integral unit. The cement 34 also provides a smooth surface over the opening that is flush with the outer peripheral surface of tube 24. To this end, it is necessary that the optical cement, forming an optical window 35 when  
35          40         finished, be polished smooth to minimize turbulence caused by the surface and to prevent

5       blood clots from forming in voids and other irregularities in the cement. The preferred optical cement is Norland™ Optical Adhesive 61. It should be understood, however, that other optical quality cements can also be employed.

10      In operation, with the catheter inserted into the blood vessel of a patient or into a flow within a pipe, a transmission, or incident, beam of light from a laser, such as, preferably, a laser diode, such as, for example, the 7350 Series Diffraction Limited Laser Diode, operating in the wavelength range of 670-680 nm, produced by SDL, Incorporated of San Jose, California, U.S.A., or an HeNe laser, exits the terminal end 17 of the optical fibre 16, here designated as the transmitting, or transmission, fibre. The wavelength of the light may be any wavelength within the scattering spectrum of blood, which ranges from 450-850 nm. Wavelengths within the red portion of the spectrum, 600-720 nm, are preferred because they provide the most scattering within blood.

15      The incident beam reflects off the reflecting surface 32 in a direction having a component normal to the fibre axes out of the optical window 35 formed over the opening 28 in the tube 24 (see FIG. 6). The light within the incident beam is reflected into a measurement, or probe, volume of fluid flow outside of and alongside the tube 24 in the region near the optical window 35. The reflected light is scattered by particles flowing within the measurement volume. A portion of the light is also scattered back (the backscatter) through the optical window 35 where it is reflected by the reflecting surface 32 into the terminal end 19 of the optical fibre 18, here designated as the

5 receiving fibre. The light received by the receiving fibre 18 is known as the backscatter signal.

As shown in FIG. 6, the reflected light emitted from the transmitting fibre 16 covers a  
10 diverging area denoted between lines 36, that can be considered as a transmitted acceptance cone. The receiving fibre 18 collects light from a diverging area, or acceptance cone or field of view, denoted between lines 38. The overlap of  
15 the transmitted acceptance cone 36 with the field of view 38, as shown by the cross-hatched area 40, represents the probe volume region wherein the incident beam and the backscatter transmission overlap. It is in this probe volume where fluid  
20 flow is measured.

The design parameters and the preferred values of those parameters will now be described.

Depending on the angle of the reflective surface, the probe volume may be projected out of  
25 the optical opening normal to the fluid flow (i.e., normal to the fibre axes) or forwardly or rearwardly with respect to the fibre tips. To obtain the largest Doppler shift, however, it is preferred that the probe volume be projected as  
30 far forwardly or rearwardly as possible. If the probe volume is projected normally to the fibre axes, there is no Doppler shift and the flow velocity cannot be ascertained.

In addition, the height of the probe  
35 volume above the wall of the catheter 24 (i.e., the distance the probe volume 40 in FIG. 6 is spaced radially from the optic window 35) is also critical. The probe volume must be a sufficient distance, or at a sufficient projection height,  
40 from the catheter so that the probe volume is out of the boundary layer of the flow along the

5        sidewall of catheter 24. On the other hand, if  
the probe volume is too far from the catheter  
sidewall, the laser transmission light cannot  
sufficiently penetrate the opaque fluid, such as  
blood. The projection height of the probe volume,  
10      thus, depends on a number of factors, including  
the index of refraction of the optical cement and  
the angle of the reflective surface. The greater  
the reflective surface angle, the higher the  
projection height. Projection height also depends  
15      on the position of the reflective surface with  
respect to the fibre tips. The closer the  
reflective surface is to the fibre tips, the  
higher the projection height. Finally, the  
projection height depends on the separation  
20      between the two fibres. The greater the  
separation, the greater the projection height.

         To ensure that the acceptance cones of  
the transmission fibre and the receiving fibre are  
correctly projected out of the catheter and window  
25      35, the two cones should intersect beyond the  
reflective surface. In other words, the two  
cones, between lines 36 and 38, respectively,  
cannot overlap until they are projected into the  
flow as shown in FIG. 6. To avoid overlap of the  
30      acceptance cones prior to their exiting optical  
window 35, the fibre core centers must be spaced  
at least 260  $\mu\text{m}$  apart.

         In addition, the reflective surface  
cannot be too far from the fibre tips. The beam  
35      angle of the transmission light depends on the  
index of refraction of the optical cement. Using  
the preferred optical cement, Norland™ Optical  
Adhesive 61, which has an index of refraction of  
1.5562, the acceptance cones of two 50/125  
40      multimode fibres, whose cores are separated by 260  
 $\mu\text{m}$ , will intersect each other at a distance of 290

5       $\mu\text{m}$  from the fibre tips. Therefore, the intersection of the fibre axes with the reflective surface must be within 290  $\mu\text{m}$  of the fibre tips.

Although it is preferred that the probe volume 40 be projected as far forwardly or

10     rearwardly along the catheter 24 as possible, the angle of the reflective surface cannot be so great or so small that the reflected transmission light does not leave the optical window due to total internal reflection. To avoid total internal  
15     reflection, the angle of the reflective surface must be between 25-65° from the fibre axes, but not, preferably, exactly at 45°. Where the angle is progressing greater than 45°, the probe volume will be progressively projected rearwardly; as the  
20     angle becomes less than 45°, the probe volume will be progressively projected forwardly. At a 45° reflective angle, the probe volume is projected normal to the fibre axes.

A forwardly projected probe volume is  
25     preferred. A normally projected probe volume would not capture sufficient Doppler shift, as noted above. While a rearwardly projected probe volume may be blocked by the fibres themselves, this could be avoided by moving the fibres away  
30     from the reflective surface. This can, however, result in the acceptance cones of the fibres overlapping before reaching the reflective surface.

As noted previously, the angle of the  
35     reflective surface is preferably within the range of 25 - 35°, with 30° being preferred. If the angle is less than 25° total internal reflection will result. If the angle is greater than 35° the projection height will be too high.

40     The optical opening must be large enough so that the acceptance cones of the fibres are not

5 blocked by the tube wall. For a sensor having  
50/125 multimode fibres with a 260  $\mu\text{m}$  separation  
between the fibre axes, an optical cement having  
an index of refraction of 1.5562, and a reflective  
surface with an angle of 30°, the optical opening  
10 must have an axial length of at least 600  $\mu\text{m}$   
measured axially from the fibre tips 17, 19 and a  
circumferential width of at least 530  $\mu\text{m}$  that is  
centered between the optical fibres.

15 The plug 30 must have a sufficient  
diameter such that the acceptance cones of the  
fibres are entirely captured by the reflective  
surface. The diameter of the catheter primarily  
preferred herein is 1.2 mm. For a 1.2 mm diameter  
sensor having 50/125 multimode fibres with 135  $\mu\text{m}$   
20 separation therebetween, an optical cement having  
an index of refraction of 1.5562, a reflective  
surface at an angle of 30°, and with the fibre  
axes intersecting the reflective surface at a  
distance of 108.25  $\mu\text{m}$  from the fibre tips, the  
25 outer diameter of the plug must be at least 204  
 $\mu\text{m}$ .

For a sensor employing two 8/125 single  
mode fibres, the design parameters are summarized  
below:

30	Refractive index of optical cement -	1.5562
	Recommended reflective angle -	27°
	Minimum plug diameter -	150 $\mu\text{m}$
	Minimum optical opening length - for a 1.2 mm diameter sensor.	400 $\mu\text{m}$

35 It must be noted that the above preferred  
parameters have been developed for prototype  
sensors having flat reflective surfaces and  
normally positioned fibre tips. Any or all of the  
parameter values may differ in a preferred  
40 commercial embodiment from those cited above. In

5 addition, it is important to understand that all  
of the parameters are directly interdependent and  
that variation of any one of the preferred values  
would necessarily change the remaining values.

10 In manufacturing the sensor of the  
present invention, the fibres are inserted into  
the tube with the plug on which the reflective  
surface is polished. Incident light transmitted  
through the transmission fibre and a received  
light signal are both monitored. The relative  
15 orientation of the fibres with respect to the  
protective surface is adjusted until the signal to  
noise ratio is maximized. The optical cement is  
then added to fix the relative positions of the  
fibres and the reflective surface.

20 The sensor of the present invention has  
been described thus far as having a single optical  
opening and window and a single reflective surface  
whereby the single window and reflective surface  
are associated with both fibres and each,  
25 respectively, transmits and reflects both the  
incidence signal and the backscatter signal. The  
sensor of the present invention could, however,  
include two or more reflective surfaces axially  
disposed with respect to associated fibre tips in  
30 a corresponding number of optical openings having  
associated optical windows. In this embodiment,  
it is contemplated that the incidence beam,  
emitted from a transmitting fibre, is reflected by  
its associated reflective surface out its  
35 associated optical window. Similarly, the  
backscatter signal passes through an optical  
window and is reflected by a reflective surface  
associated with a receiving fibre.

40 The sensor of the present invention has  
also be described as having a single transmitting  
fibre and a single receiving fibre. It is

5 presently contemplated, however, that the sensor  
of the present invention could include two or more  
transmitting fibres and/or two or more receiving  
fibres, at least one optic transmitting path and  
at least one optic receiving path being required.

10 It is desirable that the Doppler  
spectrum be as narrow as possible and that the  
signal to noise ratio be as large as possible. To  
maximize the signal to noise ratio from the  
sensor, and to minimize the width of the Doppler  
15 spectrum, it is desirable that the probe volume be  
as small as possible and that the transmission  
beam be as concentrated as possible. To that end,  
a sensor with the capability to focus the  
transmission signal and to focus the field of view  
20 of the receiving fibre would provide significant  
advantages over sensors without such capabilities.

An alternate embodiment of the fibre  
optic catheter of the present invention, which  
includes such focusing capability, is shown in  
25 FIGS. 4, 5, and 7. The sensor tip 42 of the  
catheter of the alternate embodiment is, in most  
respects, identical to the sensor tip 14 of the  
first embodiment. The reflective surface 46 of  
the plug 44 is not, however, ground flat as in the  
30 first embodiment, but is ground with a concave  
shape as shown schematically in FIG. 5. As  
demonstrated in FIG. 7, the concave surface helps  
focus the incident beam 48 from the transmission  
fibre 16 to a smaller region above the surface of  
35 the sensor. Furthermore, by virtue of the concave  
reflective surface 46, the region from which light  
is collected by the receiving fibre 18, indicated  
between lines 50, is also focused so as to be  
narrower than without such focusing. This results  
40 in a narrower probe volume 52 which causes a  
stronger signal to noise ratio and a narrower

## 5      Doppler spectrum.

As noted above the index of refraction of the optical cement presently used is 1.5562. The index of refraction of the fibre core typically ranges from about 1.4 - 1.5.

10     Accordingly, the acceptance cone of the transmission beam is enlarged upon being emitted from the fibre tip into the optical cement. This results in an undesired enlargement of the probe volume and a decrease in the light intensity. If  
15     the index of refraction of the optical cement were less than that index of refraction of the fibre core, however, the acceptance cone would shrink, resulting in built-in focusing effect.

20     As noted above, the shape of the reflective surface can itself be modified to focus the transmission signal and the field of view of the receiving fibre. Similarly, the tips of the fibres may be shaped so as to produce such a focusing effect.

25     Further embodiments of the sensor of the present invention are shown in FIGS. 8 and 9. The sensor of FIG. 8A, has fibre tips 60 that are convex in shape. Where the index of refraction of the optical cement 64 is less than the index of  
30     refraction of the fibre core, the convex fibre tips 60 of the sensor of FIG. 8A will result in a more focused probe volume and thus a stronger signal to noise ratio and narrower Doppler spectrum. Conversely, where the index of  
35     refraction of the optical cement 64 is greater than the index of refraction of the fibre core, the convex fibre tips 60 of the sensor of FIG. 8A will result in a less focused probe volume.

40     The sensor of FIG. 8A has a flat reflective surface 32. The sensor of FIG. 8B, has fibre tips 60 that are convex combined with a

5 concave reflective surface 46 resulting in even  
more focusing of the probe volume.

The sensor of FIG. 9A, has fibre tips 62 that are concave. Where the index of refraction of the optical cement 66 is greater than the index of refraction of the fibre core, the concave fibre tips 62 of sensor of FIG. 9A will result in a more focused probe volume and thus a stronger signal to noise ratio and narrower Doppler spectrum.

Conversely, where the index of refraction of the optical cement 66 is less than the index of refraction of the fibre core, the concave fibre tips 62 of sensor of FIG. 9A will result in a less focused probe volume.

The sensor of FIG. 9A has a flat reflective surface 32. The sensor of FIG. 9B, has fibre tips 62 that are concave combined with a concave reflective surface 46 resulting in even more focusing of the probe volume.

In analyzing the signals received by the receiving fibre of the two-fibre sensor, the backscatter signal is compared to the incident signal in a known manner so as to determine the Doppler shift of the backscatter signal. As is well known in the art, the flow velocity of fluid, such as blood, is directly proportional to Doppler shift frequency. The velocity may be represented mathematically by the expression:

$$V = K \cdot f_D$$

where,

35  $V$  = Blood Flow Velocity;

$K$  = Doppler shift constant to be determined in a known manner; and

40  $f_D$  = the Doppler shift frequency, to also be determined in a known manner.

5           The Doppler shift constant K is  
calculated by the following equation:

$$K = - \frac{2n}{\lambda} \cos \theta$$

where,

10           n       =     index of refraction of blood ~  
                          1.33;

15           θ       =     obtuse angle between direction  
                          of flow and the bisection of  
                          the transmission cone  
                          projected from the optical  
                          window; and

λ       =     wavelength of the light.

While the invention has been described  
in connection with what are presently considered  
20           to be the most practical and preferred  
embodiments, it is to be understood that the  
invention is not to be limited to the disclosed  
embodiments, but, on the contrary, it is intended  
to cover various modifications and equivalent  
25           arrangements included within the spirit and scope  
of the appended claims.

Such modifications, which would be  
included within the scope of the appended claims,  
30           include, but are not limited to, a fibre optic  
sensor having two or more transmitting fibres  
and/or two or more receiving fibres and a sensor  
having two or more reflective surfaces axially  
disposed with respect to associated fibre tips in  
a corresponding number of optical openings having  
35           associated optical windows.

Thus, it is to be understood that  
variations in the particular parameters used in  
defining the improved fibre optic probe can be  
made without departing from the novel aspects of  
40           this invention as defined in the claims.

## 5     WHAT IS CLAIMED IS:

1.     A sensor for remote fluid flow measurements, said sensor comprising:
  - a flexible tube having an optical opening formed in a sidewall thereof;
- 10    first and second optical fibres disposed within said tube, said first and second optical fibres each having a longitudinal fibre axis and a terminal end disposed within said tube proximate said optical opening; and
- 15    a single reflective surface disposed within said tube adjacent said terminal ends of said first and second optical fibres,
  - wherein said optical opening and a portion of said tube surrounding said terminal ends of said first and second optical fibres and said reflective surface define a cavity, said cavity being filled with an optical cement forming an optical window,
- 20    said single reflective surface being oriented such that light emitted from said terminal end of one of said first and second optical fibres is reflected by said single reflective surface in a direction having a component normal to the fibre axes through said optical cement into a measurement volume of the flow located outside and alongside said sensor, the reflected light being scattered within the measurement volume such that a portion of the scattered light that is within a field of view of the other of said first and second optical fibres is scattered back through said optical cement and is reflected by said single reflective surface into said terminal end of the other of said first and second optical fibres.
- 25
- 30
- 35

5           2. The sensor of claim 1 wherein said single reflective surface is flat.

             3. The sensor of claim 1 wherein said single reflective surface is concave.

10          4. The sensor of claim 1 wherein said single reflective surface is disposed adjacent the distal end of said tube.

             5. The sensor of claim 1 wherein said single reflective surface is composed of stainless steel.

15          6. The sensor of claim 2 wherein said single reflective surface is oriented at an angle of between 25 and 35 degrees with respect to the longitudinal fibre axes of said first and second optical fibres.

20          7. The sensor of claim 1 wherein said terminal ends of said first and second optical fibres are normal with respect to the longitudinal fibre axes of said first and second optical fibres.

25          8. The sensor of claim 1 wherein at least one of said single reflective surface and said terminal ends of said first and second optical fibres is shaped so as to focus the light reflected into the flow and to focus the field of view of the other of said first and second optical fibres.

             9. The sensor of claim 1 wherein said first and second optical fibres each comprises a core and a surrounding cladding layer and wherein said

5        optical cement has an index of refraction that is greater than an index of refraction of said cores.

10      10. The sensor of claim 1 wherein said first and second optical fibres each comprises a core and a surrounding cladding layer and wherein said optical cement has an index of refraction that is less than an index of refraction of said cores.

11. The sensor of claim 9 wherein said terminal ends of said first and second optical fibres are concave in shape.

15      12. The sensor of claim 10 wherein said terminal ends of said first and second optical fibres are convex in shape.

13. The sensor of claim 3 wherein said terminal ends of said first and second optical fibres are normal with respect to the fibre axes of said first and second optical fibres.

14. The sensor of claim 11 wherein said single reflective surface is concave.

25      15. The sensor of claim 12 wherein said single reflective surface is concave.

16. The sensor of claim 1 wherein at least one of said first and second optical fibres is a multimode optical fibre.

30      17. The sensor of claim 1 wherein at least one of said first and second optical fibres is a single mode optical fibre.

5        18. A sensor for remote fluid flow measurements, said sensor comprising:  
measured, said sensor comprising:  
a flexible tube having at least one optical opening formed in a sidewall thereof;  
transmitting and receiving optical fibres  
10      disposed within said tube, said transmitting and receiving optical fibres each having a terminal end disposed within said tube proximate an associated opening of said at least one optical opening; and  
15      at least one reflective surface disposed within said tube adjacent said terminal ends of said transmitting and receiving optical fibres, a reflective surface of said at least one reflective surface being associated with said transmitting  
20      optical fibre and a reflective surface of said at least one reflective surface being associated with said receiving optical fibre,  
          said at least one reflective surface being oriented such that light emitted from said  
25      terminal end of said transmitting optical fibre is reflected by said reflective surface associated with said transmitting optical fibre out said optical opening associated with said transmitting optical fibre into said fluid and so that back  
30      scattered light within a field of view of said receiving optical fibre reenters said optical opening associated with said receiving optical fibre to be reflected by said reflective surface associated with said receiving optical fibre into  
35      said terminal end of said receiving optical fibre,  
          said emitted light and said field of view being focused.

19. The sensor of claim 18 wherein said reflective surface associated with said transmitting optical fibre and said reflective

5       surface associated with said receiving optical  
fibre comprise a common reflective surface.

20.      The sensor of claim 18 wherein said  
optical opening associated with said transmitting  
optical fibre and said optical opening associated  
10     with said receiving optical fibre comprise a  
common optical opening.

21.      The sensor of claim 19 wherein said  
optical opening associated with said transmitting  
optical fibre and said optical opening associated  
15     with said receiving optical fibre comprise a  
common optical opening.

22.      The sensor of claim 18 wherein at least  
a one of said at least one reflective surface and  
said terminal ends of said transmitting and  
20     receiving optical fibres are shaped so as to focus  
the emitted light and said field of view.

23.      The sensor of claim 18 wherein said at  
least one optical opening and a portion of said  
tube surrounding said terminal ends of said  
25     transmitting and receiving optical fibres and said  
at least one reflective surface define a cavity,  
said cavity being filled with an optical cement  
forming at least one optical window.

24.      The sensor of claim 23 wherein said  
30     transmitting and receiving optical fibres each  
comprises a core and a surrounding cladding layer  
and wherein said optical cement has an index of  
refraction that is greater than an index of  
refraction of said cores.

5        25. The sensor of claim 23 wherein said  
transmitting and receiving optical fibres each  
comprises a core and a surrounding cladding layer  
and wherein said optical cement has an index of  
refraction that is less than an index of  
10      refraction of said cores.

26. The sensor of claim 24 wherein said  
terminal ends of said transmitting and receiving  
optical fibres are concave in shape.

15      27. The sensor of claim 25 wherein said  
terminal ends of said transmitting and receiving  
optical fibres are convex in shape.

28. The sensor of claim 18 wherein at least  
one of said transmitting and receiving optical  
fibres is a multimode optical fibre.

20      29. The sensor of claim 18 wherein at least  
one of said transmitting and receiving optical  
fibres is a single mode optical fibre.

30. The sensor of claim 18 wherein said at  
least one reflective surface is flat.

25      31. The sensor of claim 30 wherein said at  
least one reflective surface is oriented at an  
angle of between 25 and 35 degrees with respect to  
longitudinal axes of said transmitting and  
receiving optical fibres.

30      32. The sensor of claim 18 wherein said at  
least one reflective surface is disposed adjacent  
the distal end of said tube.

5           33. The sensor of claim 18 wherein said at  
least one reflective surface is composed of  
stainless steel.

10          34. The sensor of claim 18 wherein said at  
least one reflective surface is concave.

             35. The sensor of claim 26 wherein said at  
least one reflective surface is concave.

15          36. The sensor of claim 27 wherein said at  
least one reflective surface is concave.

             37. The sensor of claim 34 wherein said  
terminal ends of said transmitting and receiving  
optical fibres are normal with respect to  
longitudinal fibre axes of said transmitting and  
20         receiving optical fibres.

             38. A method for making remote fluid flow  
measurements, said method comprising the steps of:  
             transmitting an incident light beam through a  
             first optical fibre, said first optical fibre  
25         disposed within a flexible tube;  
             reflecting the incident light beam emitted  
             from a terminal end of the first optical fibre out  
             of an optical opening formed in a sidewall of the  
             tube into a measurement volume of the flow located  
30         outside and alongside the tube, the reflected  
             light beam being scattered within the measurement  
             volume;  
             receiving, through a terminal end of a second  
             optical fibre disposed within the tube, a portion  
35         of the scattered light beam that is within a field  
             of view of the second optical fibre;

5           focusing the incident light beam to increase  
intensity of the light beam reflected into the  
flow; and

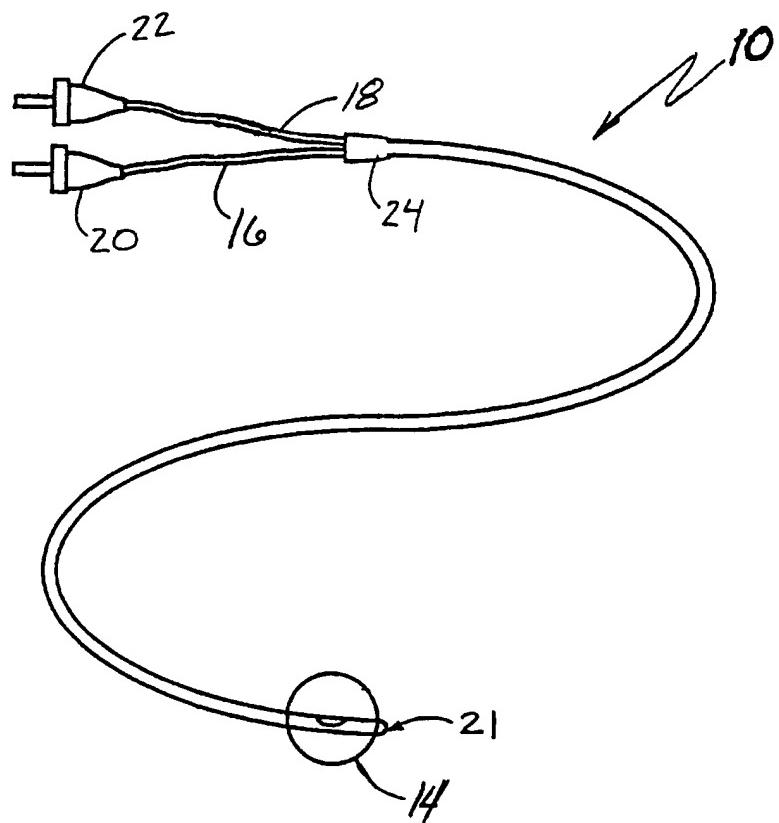
             focusing the field of view of the second  
optical fibre.

10          39. The method of claim 38 wherein said  
incident light beam focusing step and said field  
of view focusing step include shaping the terminal  
ends of the first and second optical fibres.

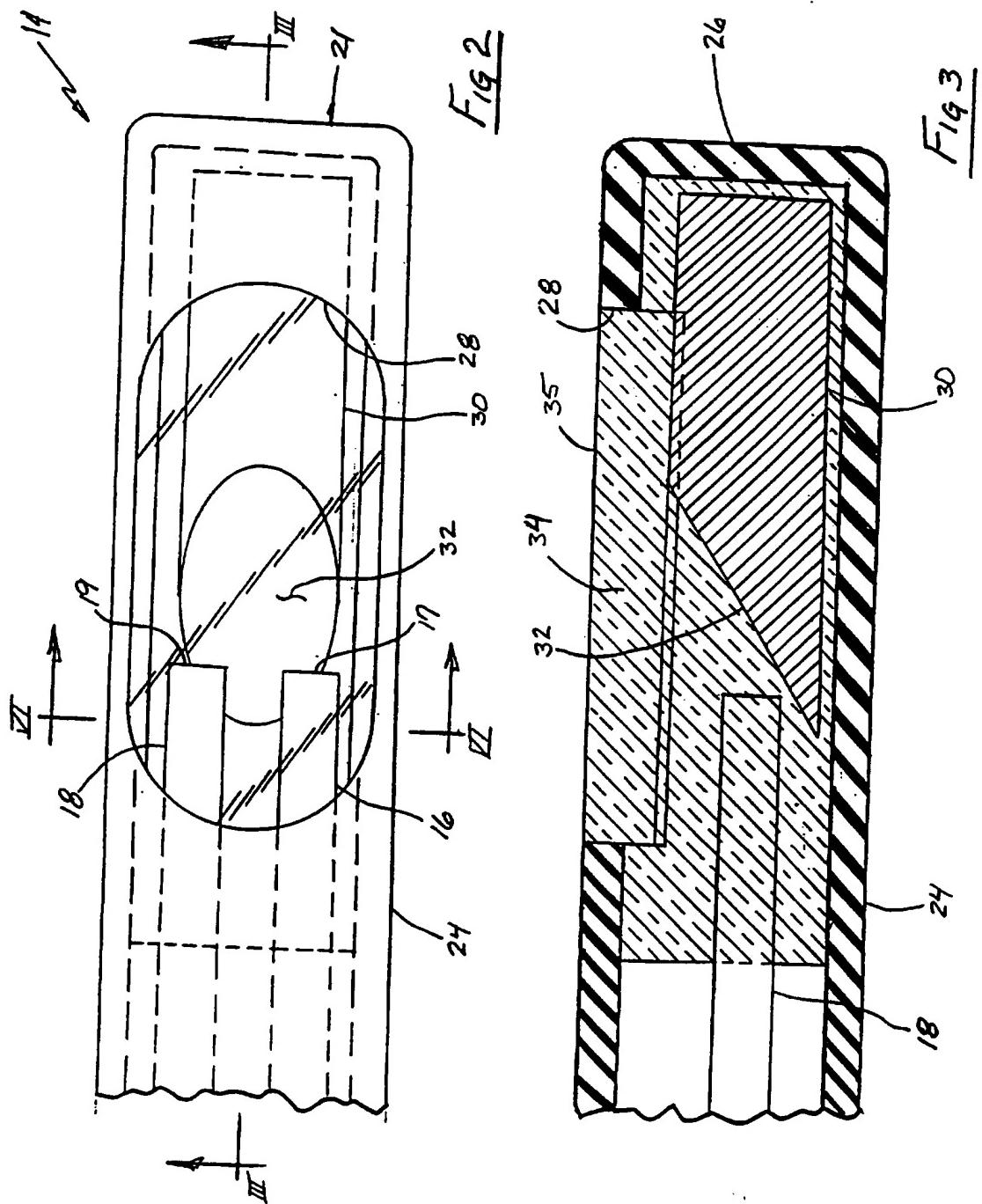
15          40. The method of claim 38 wherein said  
incident light beam focusing step and said field  
of view focusing step include shaping the  
reflective surface.

20          41. The method of claim 36 wherein said  
incident light beam focusing step and said field  
of view focusing step include shaping the  
reflective surface.

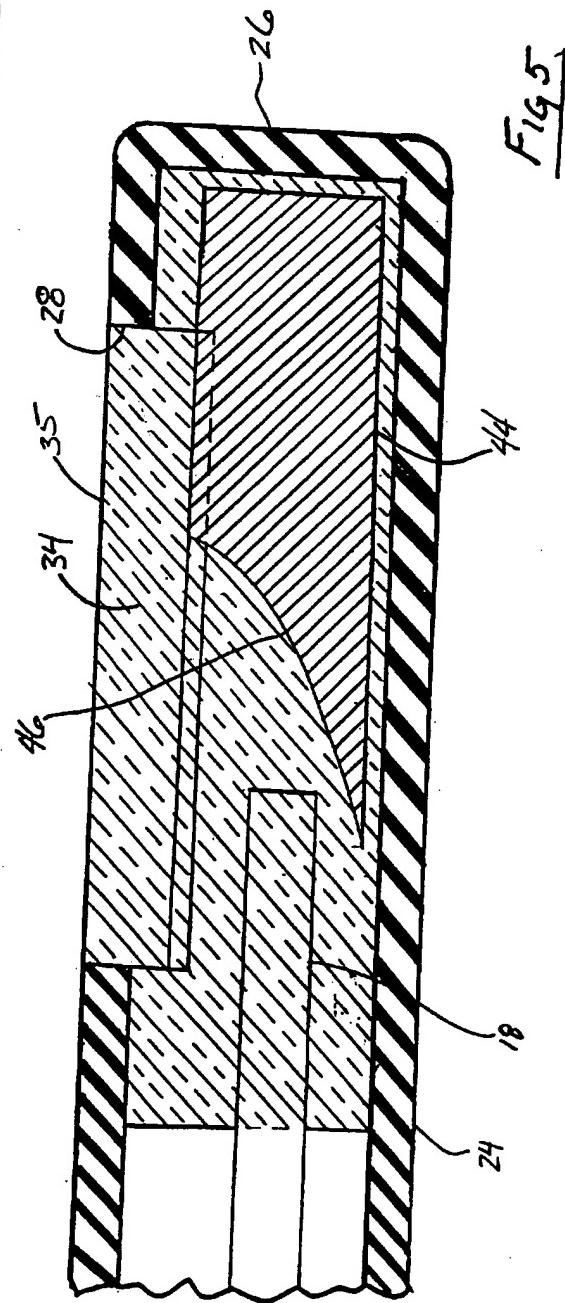
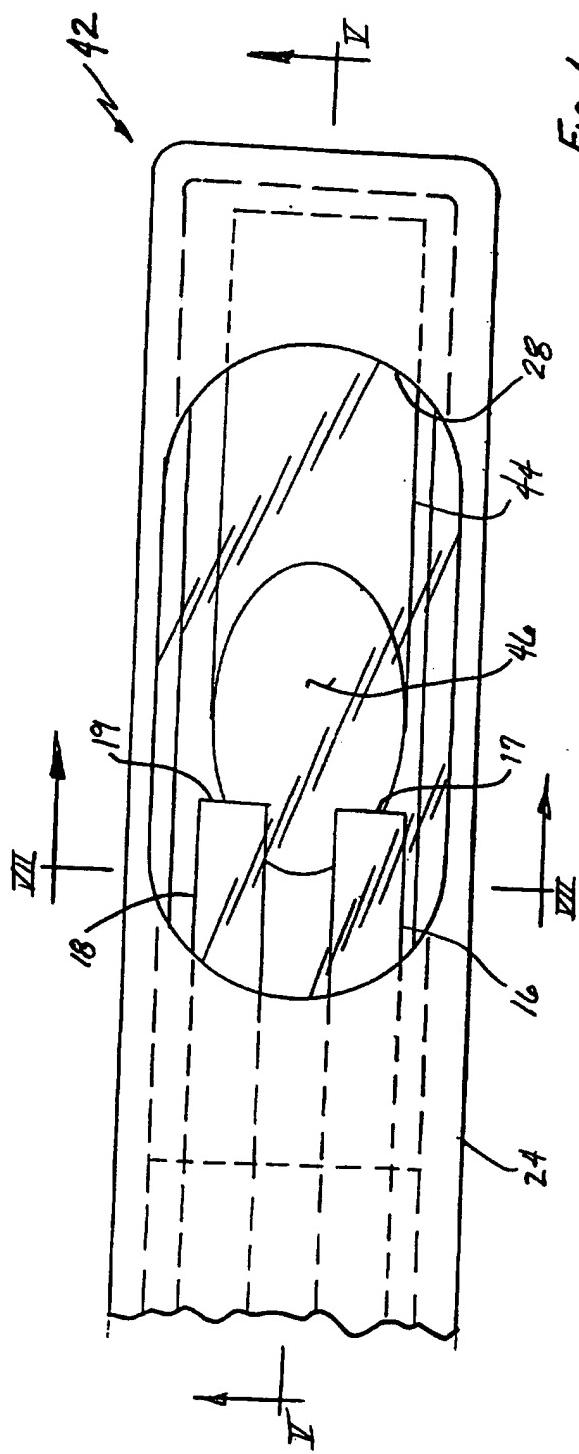
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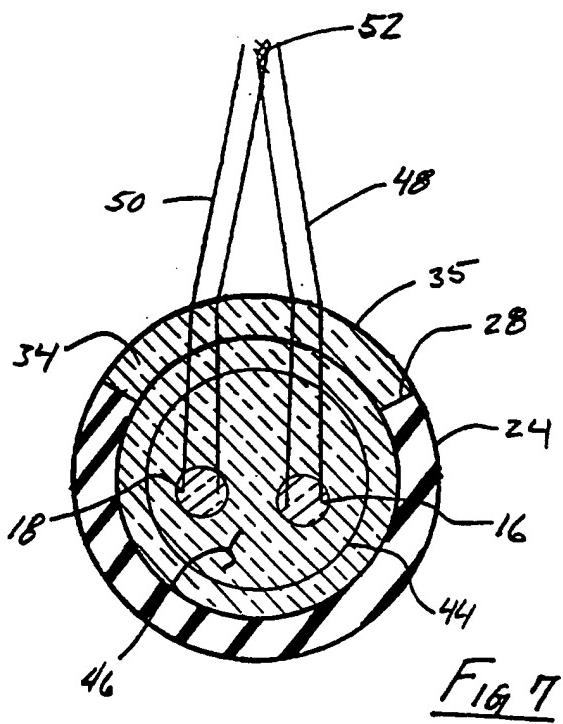
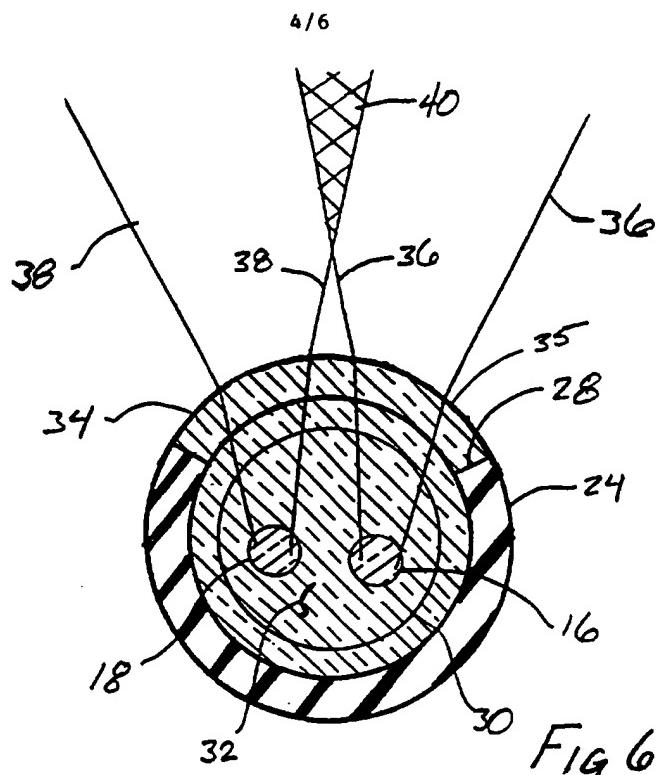
DRAWINGSFIG. 1

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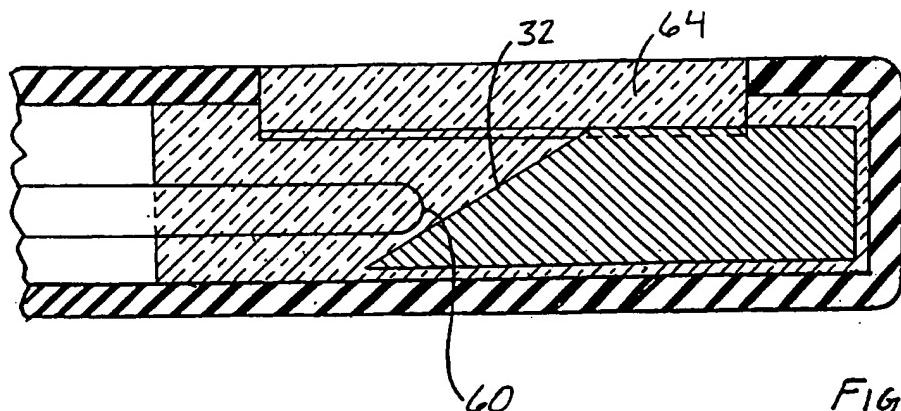
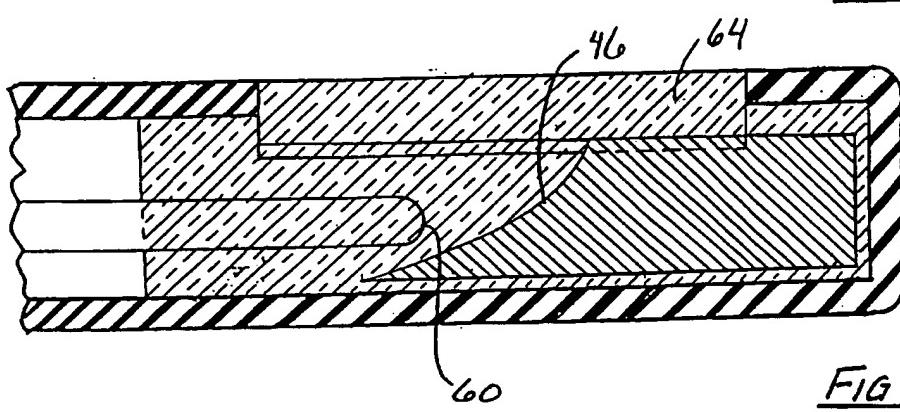
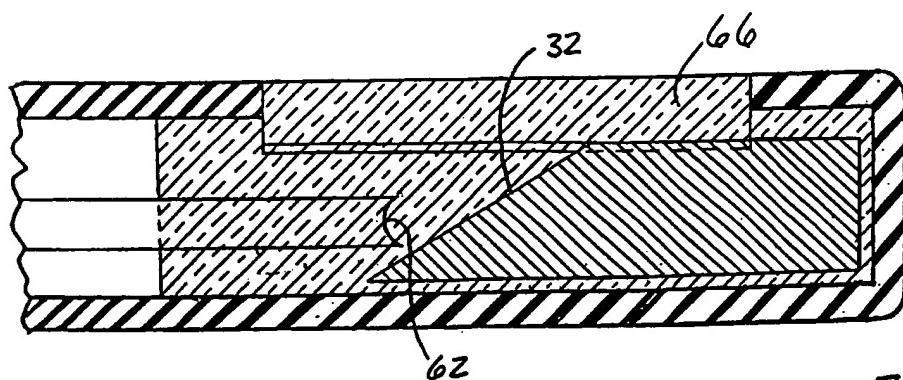
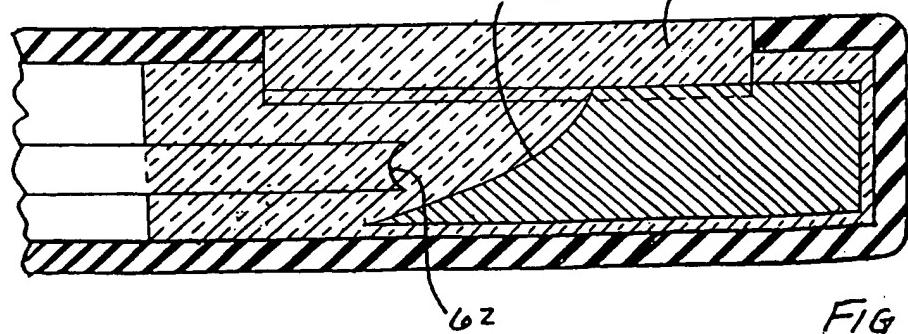


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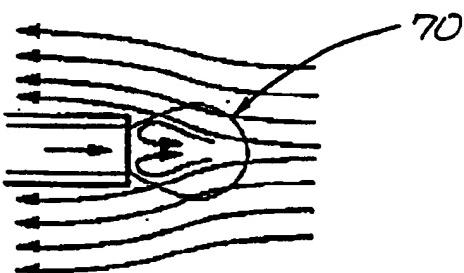




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FIG 8AFIG 8BFIG 9AFIG 9B

6 / 6



(PRIOR ART)

FIG 10

(PRIOR ART)

FIG 11

# INTERNATIONAL SEARCH REPORT

International Application No.  
PCT/SG 95/00012

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
Int Cl <sup>6</sup> : G01F 1/66, 1/72, A61B 5/027, 5/0285		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols) IPC: G01F 1/66, 1/72, A61B 5/02, 5/027, 5/0285		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched AU: IPC as above		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 90/12537 A (RADI MEDICAL SYSTEMS AB) 1 November 1990 See Abstract and Fig 2.	1,2,4,6,18-21,30-32,38
Y	US 3674013 A (POLANYL) 4 July 1972 See Whole document	1,18,38
A	WO 95/19138 A (PACESETTER AB) 20 July 1995 See Abstract, Fig 6 and page 10	1,18,38
<input type="checkbox"/> Further documents are listed in the continuation of Box C		<input checked="" type="checkbox"/> See patent family annex
<ul style="list-style-type: none"> <li>* Special categories of cited documents:</li> </ul>		
<ul style="list-style-type: none"> <li>"A" document defining the general state of the art which is not considered to be of particular relevance</li> <li>"E" earlier document but published on or after the international filing date</li> <li>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"O" document referring to an oral disclosure, use, exhibition or other means</li> <li>"P" document published prior to the international filing date but later than the priority date claimed</li> </ul>	<ul style="list-style-type: none"> <li>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</li> <li>"&amp;" document member of the same patent family</li> </ul>	
Date of the actual completion of the international search 29 February 1996	Date of mailing of the international search report 11th March 1996	
Name and mailing address of the ISA/AU AUSTRALIAN INDUSTRIAL PROPERTY ORGANISATION PO BOX 200 WODEN ACT 2606 AUSTRALIA Facsimile No.: (06) 285 3929	Authorized officer S. Clark Telephone No.: (06) 283 2164	

**INTERNATIONAL SEARCH REPORT**Int'l. Application No.  
PCT/SG 95/00012

<b>C (Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
<b>Category*</b>	<b>Citation of document, with indication, where appropriate, of the relevant passages</b>	<b>Relevant to claim No.</b>
A	Patent Abstracts of Japan, P-596, page 160 JP 62-38335 A (NIPPON KAGAKU KOGYO K.K.) 19 February 1987 See Abstract	1,18,38

**INTERNATIONAL SEARCH REPORT****Information on patent family members**

International Application No.

PCT/SG 95/00012

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report				Patent Family Member			
WO	9012537	SE	8901358				
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END OF ANNEX